



Handwritten signature/initials.

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of:	)	
	)	
Mohammed SAMJI, et al.	)	Group Art Unit: 2162
	)	
Serial No.: 10/691,841	)	Examiner: Anh Ly
	)	
Filed: October 23, 2003	)	Docket No.: 003797.01275
	)	
For: SYSTEM AND METHOD FOR	)	
VIRTUAL FOLDER SHARING	)	
INCLUDING UTILIZATION OF	)	
STATIC AND DYNAMIC LISTS	)	

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

This Information Disclosure Statement is submitted for consideration by the United States Patent and Trademark Office in accordance with the duty of disclosure.

Transmitted herewith is:

- ☒ [X] A PTO/SB/08a form
- ☐ [ ] A Background Art Information Paper
- ☒ [X] A copy of each non-U.S. item of information listed on the PTO/SB/08a form
- ☐ [ ] Concise explanation of non-English language information
  - ☐ [ ] concise explanation of relevance:
  - ☐ [ ] English translation of foreign language information
  - ☐ [ ] English language version of foreign patent office report citing information

☐ English language abstract(s) of foreign patent document(s)

☐ This application relies, under 35 U.S.C. §120, on the earlier filing date of U.S. Application Serial No. \*\*\*\*, filed \*\*\*. The information identified on the attached PTO 1449 form was submitted to and/or cited by the Patent and Trademark Office in this earlier application and, therefore, copies are not required to be provided in this application.

1. ☐ This Information Disclosure Statement is filed before the later of:

- three months from the filing date of this national application;
- three months from the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or
- the mailing date of a first office action on the merits.

In accordance with 37 CFR 1.97(b), no fee is required.

2. ☒ This Information Disclosure Statement is filed after the events noted in paragraph 1, but before either:

- a final action under 37 CFR 1.113, or an action that otherwise closes prosecution,
- a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(c), also enclosed is

☒ a fee under 37 CFR 1.17(p) for \$180.00; or

☐ a certification as stated below.

3. ☐ This Information Disclosure Statement is filed before payment of the issue fee, but after either

- a final action under 37 CFR 1.113, or an action that otherwise closes prosecution;
- a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(d), also enclosed is:

☐ a certification, as stated below; and

☐ the IDS processing fee set forth in 37 CFR 1.17(p)(1) in the amount of \$180.00.

Certification under 1.97(e)1 or 1.97(e)2

The undersigned states:

☐ Each item of Information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement (e1 certification); or

☐ No item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement (e2 certification).

**TOTAL FEES:**      -\$180.00-

☒ Please charge Deposit Account No. 19-0733 in the amount of \$180.00.

☒ Please charge or credit Deposit Account No. 19-0733 for any deficiency or overpayment associated with this Information Disclosure Statement.

A duplicate copy of this sheet is enclosed for accounting purposes.

It is respectfully requested that the Examiner fully consider each item of information, initial the enclosed Form PTO/SB08a in the appropriate place to indicate that the information has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Respectfully submitted,

BANNER & WITCOFF, LTD.  
1001 G Street, N.W.  
Washington, D.C. 20001-4597  
(202) 824-3000  
Dated: January 17, 2005

By: 

Jordan N. Bodner  
Registration No. 42,338

Please type a plus sign (+) inside this box →

+

JAN 17 2006

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0551-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no person is required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

### Complete if Known

Application Number	10/691,841
Filing Date	October 23, 2003
First Named Inventor	Mohammed SAMJI
Group Art Unit	2162
Examiner Name	Anh Ly
Attorney Docket Number	003797.01275

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		US - 6,025,843		SKLAR	02-2000	
		US - 6,243,094 B1		SKLAR	06-2001	
		US - 6,480,835		LIGHT	11-2002	
		US - 6,549,916		SEDLAR	04-2003	
		US - 6,573,907		MADRANE	06-2003	
		US - 6,684,222		CORNELIUS, et al.	01-2004	
		2001/0053996		ATKINSON	12-2001	
		2002/0169678		CHAO, et al.	11-2002	
		2003/0069908		ANTHONY, et al.	04-2003	
		2003/0093321		BODMER, et al.	05-2003	
		2003/0105745		DAVIDSON, et al.	06-2003	
		2003/0135517		KAUFFMAN	07-2003	

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		Microsoft Windows XP Version 2002 (Screen Dumps, Figs. 1-16).	
		Simpson, Alan, Windows 95 Uncut, 1995, IDG Books Worldwide, Inc., pp. 104-107.	
		Feng, et al., "Schemata Transformation of Object-Oriented Conceptual Models to XML", Computer systems Science & Engineering, Vol. 18, No. 1, Jan. 2003.	
		Joseph, M., "The UML for Data Modellers," Elektron, April 2004, pp. 72-73.	
		Wang, G., et al., "Extending XML Schema with Nonmonotonic Inheritance," in M.A. Jesufeld and O. Paster (eds.), ER 2003 Workshops, Lecture Notes in Computer Science 2814:402-407, 2003.	

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2